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AUG 06 2002
PATENT & TRADEMARK OFFICE

ATTORNEY DOCKET NO.
11321-P021US

17548-22-02
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PATENT
APPLICATION NO. 10/071,166
AUG 20 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Smalley, et al.
Serial No.: 10/071,166
Filing Date: February 8, 2002
Art Unit: 1754
Examiner: Not yet assigned

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231, on August 1, 2002.

Gracie Solis
Gracie Solis

Title: GAS-PHASE PROCESS FOR PURIFYING SINGLE-WALL CARBON NANOTUBES AND COMPOSITIONS THEREOF

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Pursuant to the provisions of 37 CFR §§ 1.56, 1.97 and 1.98, and MPEP 609, Applicant submits herewith copies of the patents and other documents listed below and on the attached Form PTO-SB/08 "Information Disclosure Citation."

DOCUMENTS

Author	Title	Publication Date	Pages
DAI, et al.	Single-wall nanotubes produced by metal-catalyzed disproportionation of carbon monoxide	09-27-1996	

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REMARKS

Consideration by the Examiner of each of the documents listed herein and on Form PTO-SB/08 is respectfully requested. Applicant respectfully requests that a copy of PTO-SB/08, as considered and initialed by the Examiner, be returned to the undersigned with the next Official Communication.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made. 37 CFR §1.97(g). Furthermore, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in this Statement is, or is considered to be, prior art, analogous art, or material to patentability of this application, but the information has been cited to make it clear beyond all doubt that Applicant's duty of disclosure has been complied with. 37 CFR §1.97(h).

Applicant hereby submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from these references.

This Information Disclosure Statement is being filed within three (3) months of these references being cited in a communication from a foreign patent office in a corresponding foreign application. 37 C.F.R. 1.97(c); MPEP §609(c) and MPEP §601(e)(1).

The attached form, PTO-SB/08, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-SB/08 is supplied herewith.

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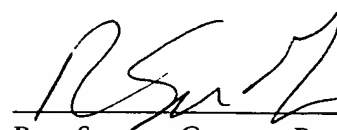
PATENT
APPLICATION NO. 10/071,166

It is believed that no fee is due; however, the Commissioner is hereby authorized to charge any fees which may be required by this paper, to Winstead Sechrest & Minick P.C. Deposit Account No. 23-2426.

Respectfully submitted,

Date: _____

8/1/02



Ross Spencer Garsson, Registration No. 38,150

WINSTEAD SECHREST & MINICK P.C.
P.O. Box 50784
1201 Main Street
Dallas, Texas 75250-0784
Telephone: 513.270.2870
Facsimile: (214) 745-5390

ATTORNEYS FOR APPLICANT

DALLAS_1\3686274\1
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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/071,166
				Filing Date	February 8, 2002
				First Named Inventor	Richard E. Smalley
				Group Art Unit	1754
				Examiner Name	Not yet assigned
				Attorney Docket Number	11321-P021US
(use as many sheets as necessary)					
Sheet	1	of	1		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
		DAI, et al; Single-Wall Nanotubes Produced by Metal-Catalyzed Disproportionation of Carbon Monoxide; September 27, 1996; Chemical Physics Letters Vol. 260 (1996); Page 473; Elsevier Science B.V.

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Examiner Signature		Date Considered	
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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